



COMMONWEALTH OF KENTUCKY
TREY GRAYSON, SECRETARY OF STATE

Division of Corporations
Business Filings
PO Box 718
Frankfort, KY 40602
(502) 564-3490
www.sos.ky.gov

Certificate of Authority
(Foreign Business Trust)

FNT

Pursuant to the provisions of KRS Chapter 386, the undersigned hereby applies for a certificate of authority on behalf of the business trust named below and, for that purpose, submits the following statements:

- 1. The name of the business trust is
2. The state or country whose law it is organized in is
3. The date of organization is
4. If the trust has a specific date of dissolution, the date upon which it is to dissolve.
5. The name of the registered agent is
6. The street address of the registered office in Kentucky is:

Street Address Only (No Post Office Box Numbers) City State Zip Code

7. The mailing address of the principal office is:

Street Address or Post Office Box Number City State Zip Code

8. The name and business address of its current trustees are:

Name Street Address or Post Office Box Number City State Zip Code

Name Street Address or Post Office Box Number City State Zip Code

Name Street Address or Post Office Box Number City State Zip Code

9. As of the date of filing, the foreign business trust validly exists as a business trust under the laws of the jurisdiction of its organization.

Signature of Trustee Printed Name Date

I, _____, consent to serve as the registered agent on behalf of the business trust.
Print Name of Registered Agent

Signature of Registered Agent Printed Name Date

FILING INSTRUCTIONS
CERTIFICATE OF AUTHORITY FOR A FOREIGN BUSINESS TRUST

NAME

Use the exact name of the business entity as registered on file with the Office of the Secretary of State.

STATE OF ORGANIZATION

The state of organization is the state or country under whose law the business trust is formed.

DATE OF ORGANIZATION AND DISSOLUTION

The date of organization is the date business trust was filed with the secretary of state or other official having custody of the company's records. If the limited liability company has a specific date of dissolution stated in its articles of organization, it is required to state the latest date upon which it is to dissolve.

REGISTERED OFFICE AND REGISTERED AGENT

The registered office of the business entity must be in Kentucky and maintain a street address (a PO Box is insufficient for the registered office address). In order to transact business in Kentucky, the registered agent shall be an individual resident of Kentucky, a Kentucky domestic corporation, a Kentucky domestic non-corporation, a Kentucky domestic limited liability company, a foreign corporation, a foreign non-corporation or a foreign limited liability company authorized to transact business in Kentucky. The registered agent is the individual or business designated to receive service of process in the event the business is party to a legal action. The company seeking formation shall not act as its own registered agent.

CONSENT OF REGISTERED AGENT

Unless the registered agent signs the form, the business trust must deliver with the application for certificate of authority, the registered agent's consent to the appointment. The registered agent must give written consent to act as agent on behalf of the business trust. If the registered agent is a corporation an officer or the chairman of the board of directors must sign on behalf of the corporation. If the registered agent is a limited liability company and management of the company is vested in one or more managers, a manager must sign on behalf of the limited liability company. If management of the company is vested in its members, a member must sign. The person signing on behalf of the business entity acting as agent must designate the title or capacity in which he or she signs.

WHO MAY SIGN

The document must be signed by each trustee.

NUMBER OF COPIES

When filing online with the FastTrack system, no copies are required. If filing via mail or in person, one exact or conformed copy of the documents with the filing fee must be submitted to the address below. To make a copy of the filing for delivery to the local county clerk's office, visit www.sos.ky.gov and print a copy from the organization search tool.

DOCUMENT DELIVERY

All documents will be sent to the return address on the outer envelope. If no address is found, the documents will be sent to the principal office. If the applicant wishes for correspondence from the Office of the Secretary of State to be sent to someone other than those above, a request must be submitted in writing affirming that request. All other communication and notification shall follow the process prescribed in Kentucky Revised Statute.

FILING FEE

The filing fee for this document is \$15.00. Your check should be made payable to the "Kentucky State Treasurer."

MAILING ADDRESS

Trey Grayson
Secretary of State
P.O. Box 718
Frankfort, KY 40602-0718

OFFICE LOCATION

Room 154, Capitol Building
700 Capital Avenue
Frankfort, KY 40601
Hours of Operation: 8:00 AM-4:30 PM ET

CONTACT INFORMATION AND NAME AVAILABILITY

If you have any questions, need additional forms or wish to search for name availability, please feel free to visit our website at www.sos.ky.gov or call (502) 564-3490.

FUTURE DOCUMENTATION REQUIREMENTS AND DEADLINES

The business entity must file an **annual report** with the Secretary of State between January 1 and June 30 of the year following the calendar year in which the corporation was formed. Subsequent annual reports must be filed with the Secretary of State between January 1 and June 30 of the following calendar years. A **statement of change** of the registered agent and/or registered office address or principal office address must be filed with the Secretary of State whenever a change has occurred involving any of the above categories. Downloadable forms may be found on our website.